# MINUTES OF THE KANSAS BOARD OF ACCOUNTANCY JANUARY 31, 2018 (CONTINUED FROM JANUARY 19, 2018) LANDON STATE OFFICE BUILDING, ROOM 556 TOPEKA, KS

# 1. ADMINISTRATIVE MATTERS:

#### A. CALL TO ORDER:

John R. Helms, CPA, Chair, called the meeting to order. Board members in attendance were Mr. Helms; Kathryn J. Mitchell, CPA; T. C. Anderson, Public Member; Denise Denning, CPA; Corlene Lange, CPA; Michael L. Marsh, CPA; and Meredith Richey, Public Member. Also in attendance was Susan Somers, Executive Director; Randy Forbes, Disciplinary Counsel to the Board; Darin Conklin, General Counsel to the Board; Natasha Schamberger and Rita Barnard, on behalf of the Kansas Society of CPAs; and Joyce Schartz, on behalf of the Public Accountants Association.

### 2. HEARINGS AND OTHER DISCIPLINARY MATTERS

A. BRADLEY R. THIES, CPA AND BARR ASSURANCE AND ADVISORY, INC.PETITION FOR DISCIPLINARY ACTION: Mr. Helms, the investigator in this matter, recused himself with Ms. Mitchell assuming the Chair for purposes of this hearing. Mr. Thies appeared in person, and with counsel, Kate Gasper, of Lathrop Gage. This matter (continued from the January 19, 2018 meeting) was before the Board as a result of an investigation that resulted from an audit of the CPE claimed by Mr. Thies for his permit renewal.

After hearing testimony, Ms. Denning moved, and Ms. Richey seconded for the Board to go into closed session to deliberate the matter. Upon a vote, the motion carried.

When the Board reconvened, Mr. Anderson moved, and Mr. Marsh seconded as to the following:

As to Count I in the Petition for Disciplinary Action, the Respondent Bradley R. Thies is subject to discipline pursuant to K.S.A. 1-311(a)(3) for failure to maintain the requirements for renewal of his Kansas permit and is hereby censured and fined the sum of \$500, which shall be paid within thirty days of the effective date of the final order.

As to Count II of the Petition for Disciplinary Action against the Respondent, Bradley R. Thies, is subject to discipline pursuant to K.S.A. 1-311(a)(7) for violation of a Board regulation, specifically K.A.R. 74-4-7, and is hereby censured and fined the sum of \$500, which shall be paid within thirty days of the effective date of the final order.

As to Count IV of the Disciplinary Action, the Respondent Bradley R. Thies is subject to discipline pursuant to K.S.A. 1-311(a)(1) in that he did commit a deceitful act in obtaining renewal of his Kansas permit and is hereby censured and fined the sum of \$1,000, which shall be paid within thirty days of the effective date of the final order.

Counts III, V and VI of the Disciplinary Action are hereby dismissed. Costs are to be assessed in accordance with K.S.A. 1-206 to the Respondent, Bradley R. Thies, with the amount therefore to be determined upon a filing of a motion by the Board and a hearing thereon. Payment of the fine shall not be made by credit card.

Upon a vote, the motion carried, with Mr. Helms not participating.

## 3. OTHER:

A. Discussion of 2018 Legislative Session: Ms. Mitchell moved, and Ms. Denning seconded, for the Board go into executive session for 15 minutes for attorney/client privilege relating to disciplinary policies. Upon a vote, the motion carried.

When the Board reconvened, no action was taken.

B. The Board discussed assigning a Presiding Officer for Board matters that occur before the Board's next meeting to be held April 27, 2018. Mr. Anderson moved, and Mr. Marsh seconded, to delegate John Helms as the Presiding Officer, and in the event that he is unavailable or must recuse himself, Kathryn Mitchell. Upon a vote, the motion carried.

#### 4. ADJOURN

There being no further business to come before the Board, Mr. Marsh moved, and Mr. Anderson seconded, to adjourn the meeting. Upon a vote, the motion carried.

/s/ Susan L. Somers
Susan L. Somers, Executive Director